

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Marilyn S. Bullock

Group Art Unit: 3621

Serial Number: 10/707,476

Examiner: Unassigned

Filed: December 17, 2003

For: ACTIVE DISABLEMENT OF MALICIOUS CODE IN ASSOCIATION WITH THE  
PROVISION OF ON-LINE FINANCIAL SERVICES

Commissioner for Patents  
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Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.56 AND 37 C.F.R. § 1.97**

It is respectfully requested that the document listed on the attached Form PTO/SB/08A be considered by the Patent and Trademark Office in the above-referenced application and made of record therein. A full text copy of the relevant document is enclosed. This information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last.

Respectfully submitted,

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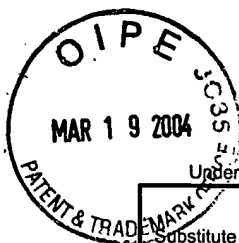
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**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the US Postal Service as first class mail on 3-16-04, in an envelope addressed to Commissioner of Patents, PO Box 1450, Alexandria, VA 22313-1450

  
Patricia L. Pontello



PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/707,476
				Filing Date	December 17, 2003
				First Named Inventor	Marilyn S. Bullock
				Art Unit	3621
Sheet 1 of 2	Examiner Name				
				Attorney Docket Number	014033-000006

U.S. PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)				
	AA	5,9232,885		07-13-99	JOHNSON et al.	
	AB	5,960,170		09-28-99	CHEN et al.	
	AC	5,983,348		11-9-99	JI	
	AD	5,991,802		11-23-99	ALLARD et al.	
	AE	6,006,328		12-21-99	DRAKE	
	AF	6,182,227		01-30-01	BLAIR	
	AG	6,266,774		07-24-01	SAMPATH	
	AH	6,480,882		11-12-02	McADAM et al.	
	AI	6,499,109		12-24-02	BALASUBRAMANIAM et al.	
	AJ	6,357,008		03-12-02	NACHENBERG	
	AK	2002/0199116	A1	12-26-02	HOENE et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> –Number <sup>4</sup> –Kind Code <sup>5</sup> (if known)				
	AI	WO 01/73523 A2	10-4-01	MCAFFEE.COM, INC.		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	<b>10/707,476</b>
				Filing Date	<b>December 17, 2003</b>
				First Named Inventor	<b>Marilyn S. Bullock</b>
				Art Unit	<b>3621</b>
Sheet	2	of	2	Examiner Name	
				Attorney Docket Number	<b>014033-000006</b>

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	AM	McAfee.com - QuickClean 3.0, "McAfee QuickClean 3.0", accessed January 23, 2003, <a href="http://www.mcafee.com/myapps/qc3">http://www.mcafee.com/myapps/qc3</a>	
	AN	McAfee Security - VirusScan Online, "VirusScan Online", accessed 1/23/03, <a href="http://www.mcafee.com/myapps/vso/">http://www.mcafee.com/myapps/vso/</a>	
	AO	TrendMicro - Free Online virus Scan, "HouseCall", accessed 1/23/03, <a href="http://housecall.trendmicro.com/">http://housecall.trendmicro.com/</a>	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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